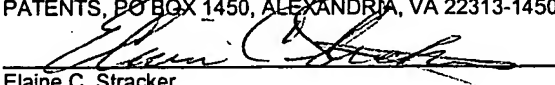


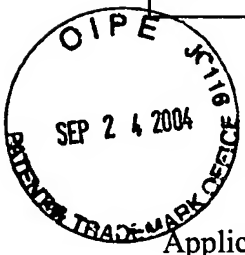
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Elaine C. Stracker



PATENT
Attorney's Docket No.: 00030.03CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rabinowitz et al.
Serial No.: 10/735,198
Filed: 12/12/2003
Title: DELIVERY OF ANTI-MIGRAINE COMPOUNDS THROUGH AN INHALATION ROUTE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

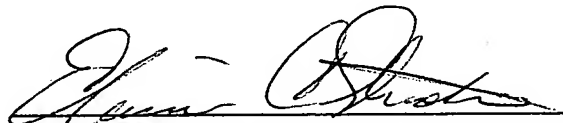
In accordance with their continuing duty of disclosure under 37 CFR §§ 1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

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Elaine C. Stracker J.D., Ph.D., Reg. 43,166

Alexza Molecular Delivery Corporation
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PTO/SB/21 (08-03)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/735,198
	Filing Date	12/12/2003
	First Named Inventor	Joshua D. Rabinowitz
	Art Unit	Not yet known
	Examiner Name	To be assigned
Total Number of Pages in This Submission	Attorney Docket Number	00030.03CON

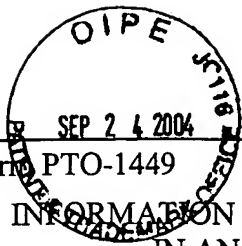
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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00030.03CON

Application Number: 10/735,198

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/02/1971	3,560,607	Hartley et al.			
	2.	05/27/1980	Re. 30,285	Babington			
	3.	08/12/1986	4,605,552	Fritschi			
	4.	01/23/1990	4,895,719	Radhakrishnun et al.			
	5.	09/17/1991	5,049,389	Radhakrishnun			
	6.	08/31/1993	5,240,922	O'Neill			
	7.	02/14/1995	5,388,574	Ingebretsen			
	8.	08/13/1996	5,544,646	Lloyd et al.			
	9.	12/09/1997	5,694,919	Rubsamen et al.			
	10.	04/07/1998	5,735,263	Rubsamen et al.			
	11.	04/14/1998	5,738,865	Baichwal et al.			
	12.	06/02/1998	5,758,637	Ivri et al.			
	13.	06/16/1998	5,767,117	Moskowitz			
	14.	06/29/1999	5,915,378	Lloyd et al.			
	15.	08/10/1999	5,934,272	Lloyd et al.			
	16.	09/28/1999	5,957,124	Lloyd et al.			
	17.	10/05/1999	5,960,792	Lloyd et al.			
	18.	11/30/1999	5,993,805	Sutton et al.			
	19.	03/28/2000	6,041,777	Faithfull et al.			
	20.	04/18/2000	6,051,566	Bianco			
	21.	08/01/2000	6,095,153	Kessler et al.			
	22.	08/15/2000	6,102,036	Slutsky et al.			
	23.	06/05/2001	6,241,969	Saidi et al.			
	24.	07/03/2001	6,255,334	Sands			
	25.	10/23/2001	6,306,431	Zhang et al.			

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	Applicant: Joshua D. RABINOWITZ et al.			
	Filing Date: 12/12/2003		Group Art Unit: Not Yet Known	

	26.	01/14/2003	6,506,762	Horvath et al.			
	27.	02/04/2003	6,514,482	Bartus et al.			
	28.	07/15/2003	6,591,839	Meyer et al.			
	29.	09/06/2001	US 2001/0020147	Staniforth et al.			
	30.	03/14/2002	US 2002/0031480	Peart et al.			
	31.	03/28/2002	US 2002/0037828	Wilson et al.			
	32.	05/16/2002	US 2002/0058009	Bartus et al.			
	33.	07/04/2002	US 2002/0086852	Cantor			
	34.	08/22/2002	US 2002/0112723	Schuster et al.			
	35.	11/28/2002	US 2002/0176841	Barker et al.			
	36.	01/02/2003	US 2003/0004142	Prior et al.			
	37.	02/13/2003	US 2003/0032638	Kim et al.			

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	38.	08/04/2003	10/633,876	Hale et al.			
	39.	08/04/2003	10/633,877	Hale et al.			
	40.	12/30/2003	10/749,537	Rabinowitz et al.			
	41.	12/30/2003	10/749,539	Rabinowitz et al.			
	42.	01/27/2004	10/766,149	Rabinowitz et al.			
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	48.	01/28/2004	10/767,115	Rabinowitz et al.			
	49.	01/29/2004	10/768,205	Rabinowitz et al.			
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	51.	01/29/2004	10/768,281	Rabinowitz et al.			
	52.	01/29/2004	10/768,293	Rabinowitz et al.			
	53.	01/30/2004	10/769,046	Rabinowitz et al.			
	54.	01/30/2004	10/769,051	Rabinowitz et al.			

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number: 00030.03CON		Application Number: 10/735,198	
	Applicant: Joshua D. RABINOWITZ et al.			
	Filing Date: 12/12/2003		Group Art Unit: Not Yet Known	

	55.	01/29/2004	10/769,157	Rabinowitz et al.			
	56.	01/29/2004	10/769,197	Rabinowitz et al.			
	57.	02/09/2004	10/775,583	Rabinowitz et al.			
	58.	02/09/2004	10/775,586	Rabinowitz et al.			
	59.	03/03/2004	10/791,915	Hale et al.			
	60.	03/03/2004	10/792,001	Rabinowitz et al.			
	61.	03/03/2004	10/792,012	Hale et al.			
	62.	03/03/2004	10/792,013	Rabinowitz et al.			
	63.	03/03/2004	10/792,096	Hale et al.			
	64.	03/03/2004	10/792,239	Hale et al.			
	65.	03/31/2004	10/813,721	Rabinowitz et al.			
	66.	03/31/2004	10/813,722	Rabinowitz et al.			
	67.	03/31/2004	10/814,690	Rabinowitz et al.			
	68.	03/31/2004	10/814,998	Rabinowitz et al.			
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	70.	04/01/2004	10/816,407	Rabinowitz et al.			
	71.	04/01/2004	10/816,492	Rabinowitz et al.			
	72.	04/01/2004	10/816,567	Rabinowitz et al.			
	73.	08/04/2004	10/912,462	Hale et al.			
	74.	01/23/2003	US 2003/0015196	Hodges et al.			
	75.	04/03/2003	US 2003/0062042	Wensley et al.			
	76.	11/13/2003	US 2003/0209240	Hale et al.			
	77.	01/02/2003	US 2003/0000518	Rabinowitz et al.			
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	79.	01/09/2003	US 2003/0005925	Hale et al.			
	80.	01/09/2003	US 2003/0007933	Rabinowitz et al.			
	81.	01/09/2003	US 2003/0007934	Rabinowitz et al.			
	82.	01/16/2003	US 2003/0012737	Rabinowitz et al.			
	83.	01/16/2003	US 2003/0012738	Rabinowitz et al.			
	84.	1/16/2003	US 2003/0012740	Rabinowitz et al.			

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00030.03CON

Application Number: 10/735,198

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

	85.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
	86.	01/23/2003	US 2003/0015190	Rabinowitz et al.			
	87.	01/23/2003	US 2003/0017114	Rabinowitz et al.			
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	89.	01/23/2003	US 2003/0017116	Rabinowitz et al.			
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	92.	01/23/2003	US 2003/0017119	Rabinowitz et al.			
	93.	01/23/2003	US 2003/0017120	Rabinowitz et al.			
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	95.	01/30/2003	US 2003/0021754	Rabinowitz et al.			
	96.	01/30/2003	US 2003/0021755	Hale et al.			
	97.	02/20/2003	US 2003/0035776	Hodges et al.			
	98.	05/15/2003	US 2003/0091511	Rabinowitz et al.			
	99.	05/27/2004	US 2004/010148	Hale et al.			
	100.	07/31/2003	US 2003/0138382	Rabinowitz			
	101.	11/06/2003	US 2003/0206869	Rabinowitz et al.			
	102.	01/15/2004	US 2004/0009128	Rabinowitz et al.			
	103.	05/20/2004	US 2004/0096402	Hodges et al.			
	104.	05/27/2004	US 2004/0099269	Hale et al.			
	105.	06/03/2004	US 2004/0105818	Hale et al.			
	106.	06/03/2004	US 2004/0105819	Hale et al.			
	107.	07/01/2004	US 2004/0126326	Rabinowitz et al.			
	108.	07/01/2004	US 2004/0126327	Rabinowitz et al.			
	109.	07/01/2004	US 2004/0126328	Rabinowitz et al.			
	110.	07/01/2004	US 2004/0126329	Rabinowitz et al.			
	111.	07/01/2004	US 2004/0127490	Rabinowitz et al.			
	112.	08/12/2004	US 2004/0156788	Rabinowitz et al.			
	113.	08/12/2004	US 2004/0156789	Rabinowitz et al.			
	114.	8/12/2004	US 2004/0156790	Rabinowitz et al.			

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number: 00030.03CON	Application Number: 10/735,198
	Applicant: Joshua D. RABINOWITZ et al.	
	Filing Date: 12/12/2003	Group Art Unit: Not Yet Known

	115.	08/12/2004	US 2004/0156791	Rabinowitz et al.			
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FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	116.	03/14/1990	EP 0 358 114	Europe			
	117.	07/03/2001	EP 1 080 720	Europe			
	118.	08/29/2001	EP 0 606 486	Europe			
	119.	04/11/1996	WO 96/09846	WIPO			
	120.	05/09/1996	WO 96/13161	WIPO			
	121.	05/09/1996	WO 96/13290	WIPO			
	122.	05/09/1996	WO 96/13291	WIPO			
	123.	05/09/1996	WO 96/13292	WIPO			
	124.	10/03/1996	WO 96/30068	WIPO			
	125.	08/07/1997	WO 97/27804	WIPO			
	126.	10/09/1997	WO 97/36574	WIPO			
	127.	01/22/1998	WO 98/02186	WIPO			
	128.	05/28/1998	WO 98/22170	WIPO			
	129.	07/23/1998	WO 98/31346	WIPO			
	130.	08/27/1998	WO 98/36651	WIPO			
	131.	09/03/1998	WO 98/37896	WIPO			
	132.	04/08/1999	WO 99/16419	WIPO			
	133.	12/16/1999	WO 99/64094	WIPO			
	134.	01/06/2000	WO 00/00176	WIPO			
	135.	01/06/2000	WO 00/00215	WIPO			
	136.	05/18/2000	WO 00/27363	WIPO			
	137.	05/25/2000	WO 00/29053	WIPO			
	138.	09/17/2000	WO 00/47203	WIPO			

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number: 00030.03CON	Application Number: 10/735,198
	Applicant: Joshua D. RABINOWITZ et al.	
	Filing Date: 12/12/2003	Group Art Unit: Not Yet Known

	139.	11/02/2000	WO 00/64940	WIPO			
	140.	11/09/2000	WO 00/66084	WIPO			
	141.	11/09/2000	WO 00/66206	WIPO			
	142.	12/21/2000	WO 00/76673	WIPO			
	143.	01/25/2001	WO 01/05459	WIPO			
	144.	03/01/2001	WO 01/13957	WIPO			
	145.	12/20/2001	WO 01/95903	WIPO			
	146.	01/03/2002	WO 02/00198	WIPO			
	147.	03/28/2002	WO 02/24158	WIPO			
	148.	03/28/2002	WO 02/24158	WIPO			

OTHER DOCUMENTS*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title
	149.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," <i>Annual Surg.</i> 195(6):700-705.
	150.	Carroll, M.E. et al. (1990), "Cocaine-base smoking in rhesus monkeys: reinforcing and physiological effects," <i>Psychopharmacology</i> (Berl). 102:443-450.
	151.	Clark, A. and Byron, P. (1986). "Dependence of Pulmonary Absorption Kinetics on Aerosol Particle Size," <i>Z. Erkrank.</i> 166:13-24.
	152.	Darquenne, C. et al. (1997). "Aerosol Dispersion in Human Lung: Comparison Between Numerical Simulations and Experiments for Bolus Tests," <i>American Physiological Society.</i> 966-974.
	153.	Davies, C.N. et al. (May 1972). "Breathing of Half-Micron Aerosols," <i>Journal of Applied Physiology.</i> 32(5):591-600.
	154.	Dershwitz, M., M.D., et al. (September 2000). "Pharmacokinetics and Pharmacodynamics of Inhaled versus Intravenous Morphine in Healthy Volunteers," <i>Anesthesiology.</i> 93(3): 619-628.
	155.	Finlay, W.H. (2001). "The Mechanics of Inhaled Pharmaceutical Aerosols", Academic Press: San Diego Formula 2.39. pp.3-14 (Table of Contents). pp. v-viii.
	156.	Gonda, I. (1991). "Particle Deposition in the Human Respiratory Tract," Chapter 176, <u>The Lung: Scientific Foundations</u> . Crystal R.G. and West, J.B. (eds.), Raven Publishers, New York. pp. 2289-2294.

EXAMINER:**DATE CONSIDERED:**

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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Application Number: 10/735,198

Applicant: Joshua D. RABINOWITZ et al.

Filing Date: 12/12/2003

Group Art Unit: Not Yet Known

- | | |
|------|--|
| 157. | Hatsukami D, et al. (May 1990) "A method for delivery of precise doses of smoked cocaine-base to humans." <i>Pharmacology Biochemistry & Behavior</i> . 36(1):1-7. |
| 158. | Heyder, J. et al. (1986). "Deposition of Particles in the Human Respiratory Tract in the Size Range 0.005-15 μm ," <i>J. Aerosol Sci.</i> 17(5):811-822. |
| 159. | Huizer, H., "Analytical studies on illicit heron. V. Efficacy of volatilization during heroin smoking." <i>Pharmaceutisch Weekblad Scientific Edition</i> (1987). 9(4):203-211. |
| 160. | Hurt, R.D., MD and Robertson, C.R., PhD, (October 1998). "Prying Open the Door to the Tobacco Industry's Secrets About Nicotine: The Minnesota Tobacco Trial," <i>JAMA</i> 280(13):1173-1181. |
| 161. | Lichtman, A.H. et al. (1996). "Inhalation Exposure to Volatilized Opioids Produces Antinociception in Mice," <i>Journal of Pharmacology and Experimental Therapeutics</i> . 279(1):69-76. |
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| 164. | Meng, Y. et al. "Inhalation Studies With Drugs of Abuse," <i>NIDA Research Monograph</i> , (1997) 173:201-224. |
| 165. | Meng, Y., et al. (1999). "Pharmacological effects of methamphetamine and other stimulants via inhalation exposure," <i>Drug and Alcohol Dependence</i> . 53:111-120. |
| 166. | Office Action mailed 8/13/2003 for US application 10/153,313 filed 05/21/2002 "DELIVERY OF BENZODIAZEPINES THROUGH AN INHALATION ROUTE". |
| 167. | Pankow, J.F. et al. (1997). "Conversion of Nicotine in Tobacco Smoke to Its Volatile and Available Free-Base Form Through the Action of Gaseous Ammonia," <i>Environ. Sci. Technol.</i> 31:2428-2433. |
| 168. | Pankow, J. (March 2000). ACS Conference-San Francisco-3/26/00. Chemistry of Tobacco Smoke. pp. 1-8. |
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EXAMINER:

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		Applicant: Joshua D. RABINOWITZ et al.			
		Filing Date: 12/12/2003		Group Art Unit: Not Yet Known	
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